## **COMPLETE LISTING OF AMENDED CLAIMS**

- (previously presented) A water-soluble or water-dispersible copolymer obtained
   by free-radical polymerization of a monomer mixture consisting essentially of
  - a) 80 to 20% by weight of a mixture of hydroxy-C<sub>1</sub>-C<sub>6</sub>-alkyl(meth)acrylate and one or more compounds of the formula (A) or (B)

$$R^{2}$$

$$OR^{1}$$

$$(A)$$

$$With R^{1} = H, C_{1}-C_{6}-alkyl,$$

$$R^{2} = H, CH_{3},$$

$$R^{3} = C_{1}-C_{24}-alkyl,$$
or mixtures thereof,

wherein the content of the content of hydroxy-C<sub>1</sub>-C<sub>6</sub>-alkyl(meth)acrylate in % by weight in a) is at least equal to the one or more compounds of the formula (A) or (B) in % by weight, in the presence of,

- b) 20 to 80% by weight of polyvinyl alcohol (PVA) and
- c) 0 to 20% by weight of other polymerizable compounds (C) selected from the group consisting of acrylic and methacrylic acids, crotonic acid, mono(C<sub>1</sub>-C<sub>8</sub>)-alkyl maleates, maleic acid, fumaric acid, itaconic acid, (meth)acrylonitrile, ethylenically unsaturated di(C<sub>1</sub>-C<sub>22</sub>)-alkyl dicarboxylates, ethylenically unsaturated sulfonic acids or sulfonic acid

derivatives, acyclic N-vinylcarboxamides and N-vinyllactams.

- 2. (original) A water-soluble or water-dispersible copolymer as claimed in claim 1, wherein the free-radical polymerization is an emulsion polymerization.
- (previously presented) A water-soluble or water-dispersible copolymer as claimed in claim 1, wherein the hydroxyethyl methacrylate is employed as hydroxy-C<sub>1</sub>-C<sub>6</sub>-alkyl (meth)acrylate.
- 4. (previously presented) A water-soluble or water-dispersible copolymer as claimed in claim 1, wherein the compounds of the formula (A) are selected from the group consisting of methyl methacrylate, ethyl acrylate, methyl acrylate, and mixtures thereof.
- (previously presented) A water-soluble or water-dispersible copolymer as claimed in claim 1, wherein the compounds of the formula (B) are selected from the group consisting of C<sub>3</sub>-C<sub>24</sub>-vinyl esters.
- (previously presented) A process for preparing water-soluble or water-dispersible copolymers as claimed in claim 1 by free-radical polymerization in an aqueous or nonaqueous but water-miscible solvent or in mixed nonaqueous/aqueous solvents.
- 7. (original) A process as claimed in claim 6, wherein the polymerization takes place in the presence of from 30 to 55% by weight of polyvinyl alcohol.
- 8. (previously presented) A pharmaceutical dosage form comprising at least one water-soluble water-dispersible copolymer as claimed in claim 1 as coating agent, binder and/or film-forming excipient.

- 9. (canceled)
- 10. (new) A method for delivering a pharmaceutically active ingredient to a patient, said method comprising orally administering to the patient the pharmaceutical dosage form of claim 8.
- 11. (new) A water-soluble or water-dispersible copolymer as claimed in claim 1, wherein the content of the content of hydroxy-C<sub>1</sub>-C<sub>6</sub>-alkyl(meth)acrylate in % by weight in a) is at least twice as much as the content of the one or more compounds of the formula (A) or (B) in % by weight.
- 12. (new) A water-soluble or water-dispersible copolymer as claimed in claim 1, wherein the content of the content of hydroxy-C<sub>1</sub>-C<sub>6</sub>-alkyl(meth)acrylate in % by weight in a) is at least three times as much as the content of the one or more compounds of the formula (A) or (B) in % by weight.
- 13. (new) A water-soluble or water-dispersible copolymer obtained by free-radical polymerization of a monomer mixture consisting of
  - a) 75 to 20% by weight of a mixture of hydroxy-C<sub>1</sub>-C<sub>6</sub>-alkyl(meth)acrylate and one or more compounds of the formula (A) or (B)

$$R^{2}$$

$$OR^{1}$$

$$(A)$$

$$With R^{1} = H, C_{1}-C_{6}-alkyl,$$

$$R^{2} = H, CH_{3},$$

$$R^{3} = C_{1}-C_{24}-alkyl,$$

or mixtures thereof,

wherein the content of the content of hydroxy- $C_1$ - $C_6$ -alkyl(meth)acrylate in % by weight in a) is at least equal to the one or more compounds of the formula (A) or (B) in % by weight, in the presence of,

- b) 25 to 60% by weight of polyvinyl alcohol (PVA) and
- c) 0 to 20% by weight of other polymerizable compounds (C) selected from the group consisting of acrylic and methacrylic acids, crotonic acid, mono(C<sub>1</sub>-C<sub>8</sub>)-alkyl maleates, maleic acid, fumaric acid, itaconic acid, (meth)acrylonitrile, ethylenically unsaturated di(C<sub>1</sub>-C<sub>22</sub>)-alkyl dicarboxylates, ethylenically unsaturated sulfonic acids or sulfonic acid derivatives, acyclic N-vinylcarboxamides and N-vinyllactams.